



**Division of Criminal
Justice Services**

For DCJS Internal Use Only	
Reviewed by: _____	Approved: _____
Date: _____	Funding Source: _____

**DCJS Office of Program Development and Funding
Request for Funding Proposal**

Completed proposals can be emailed to FederalFunding.OPDF@dcjs.ny.gov. Submission of a Request for Funding Proposal does not guarantee funding. Completion and submission of this form to DCJS initiates the review process and may result in additional questions and follow-up. Any funding provided is subject to state and federal conditions, requirements, and compliance.

Agency Name:	BALLSTON SPA POLICE DEPARTMENT	Date:	8/9/23
Contact Person:	CHIEF DAVID BUSH	Phone:	518-470-3774
E-mail Address:	CHIEFBUSH@BALLSTONSPAPOLICE.ORG	County:	Saratoga
Mailing Address:	30 BATH STREET BALLSTON SPA NY 12020		

Name of Person Completing Form (if different from above):

Organization Type (choose one):

Does the organization currently receive DCJS funding?

Does your agency have a State Financial System (SFS) number registered with New York State?

An SFS number is needed for payment.

Please provide a brief description of the proposed program:

THE BALLSTON SPA POLICE DEPARTMENT HAS ESTABLISHED A NARCOTICS INVESTIGATION TEAM TO COMBAT THE GROWING NUMBER OF DRUG OVERDOSE DEATHS IN THE VILLAGE . THIS NARCOTICS TEAM IS IN NEED OF SURVEILLANCE EQUIPMENT, RECORDING DEVICES, TRANSMITTERS, TRACKING DEVICES, ETC. PERSONNEL FUNDING AND UNDERCOVER BUY MONEY, REQUIRED TO SUCCESSFULLY INVESTIGATE, ARREST, AND PROSECUTE DRUG CASES IN THE VILLAGE OF BALLSTON SPA.

Is the program/service currently being provided?

If yes, by whom?

What funding amount are you requesting?

Funding Request Type (choose one):

When is the requested funding needed?



**DCJS Office of Program Development and Funding
Request for Funding Proposal**

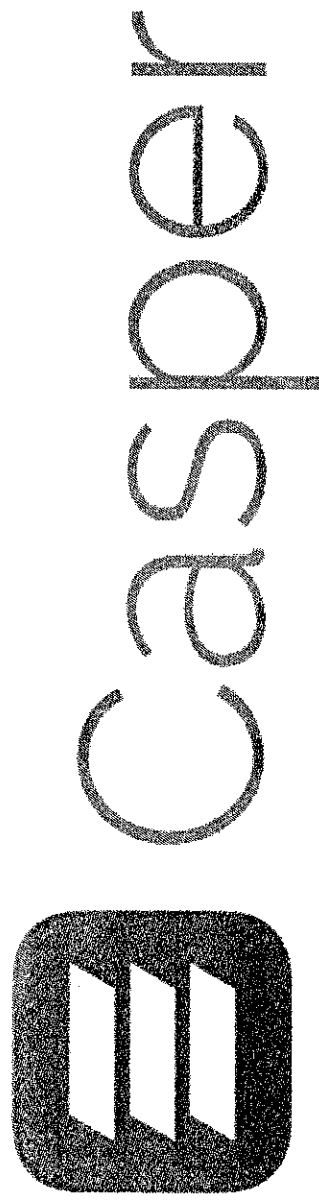
Please provide a brief but specific description of what the requested funding amount will be used for (equipment, personnel, program, etc.) and complete the budget template below. Items are dependent on the individual request and need. Not all budget lines may need to be completed.

SURVEILLANCE EQUIPMENT: 1) COVERT CAMERA/TRANSMITTER/GPS USED TO RECORD CONTROLLED DRUG BUY OPERATION AND CONFIDENTIAL INFORMANT INTERACTIONS WITH TARGET NARCOTICS TRAFFICKERS. THIS EQUIPMENT IS SOLD BY THE COMPANY SUR-TEC. DIGITAL RECORDING EQUIPMENT AND HAND HELD CAMCORDER.
PERSONNEL - 100 HOURS @\$30.08 HOURLY WAGE.
\$2000 NARCOTICS BUY CASH.

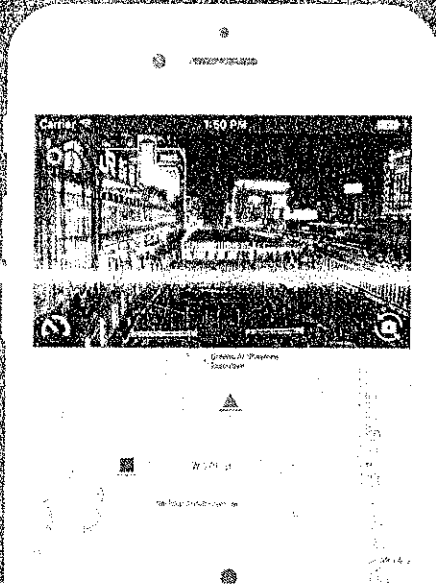
Budget Line	Amount
Personnel	\$ 3,008
Fringe	\$ 2,000
Consultants	\$ 0
Equipment	\$ 4,800
Supplies*	\$ 0
Program Supplies	\$ 0
Office Supplies	\$ 0
Travel	\$ 0
Rent/Space Costs	\$ 0
All Other*	\$ 0
Admin Overhead or Indirect Costs	\$ 0
Other: <Fill-in>	\$ 0
Other: <Fill-in>	\$ 0
Other: <Fill-in>	\$ 0
TOTAL*	\$ 9,808

* These values auto-sum from entries in other rows.

**Note: Budget Total must match amount requested.



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shame to call it a bodywire

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Audio that would make Beethoven weep

We got all nerdy with things like compression algorithms, automated background suppression, and direct mic access to give you the loudest, clearest, highest quality audio you will ever hear from a "bodywire."



Seeing is believing

A picture is worth a thousand words or just the inside of your pocket...that's up to you. As an independent feed, as much video as technically possible will come through as your bandwidth will allow...all automatically.



Like white on rice in a snowstorm

Nothing is worse than losing your UC during an operation. Lucky for you, Casper has insanely accurate live GPS that even works indoors. With a one second ping rate will make you wonder how you ever lived without it.



Evidence Prosecutors love

Your evidence is recorded on your devices in your custody. Standard .WAV audio files substantiated with SHA-256 checksum hash verifications are created independent of transmission.

Simple Pricing

3X
connections

\$2,963 /year

5X
connections

\$4,613 /year

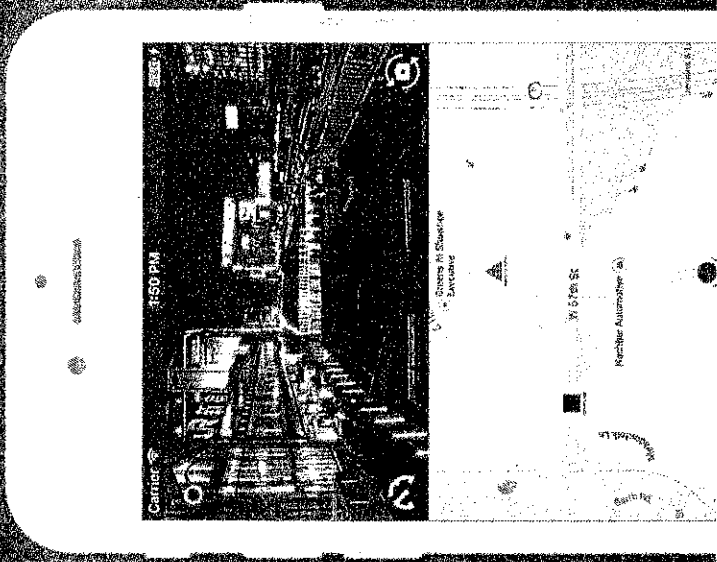
10X
connections

\$8,738 /year

UX
unlimited

800-755-2088

Unlimited Operations, Users, and Software 24/7 Technical Support



Caspe

So advanced it's a shame to call it a bodywire.



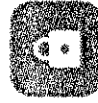
Seeing is believing



Remote controls



Audio that would make Beethoven weep

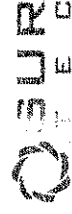


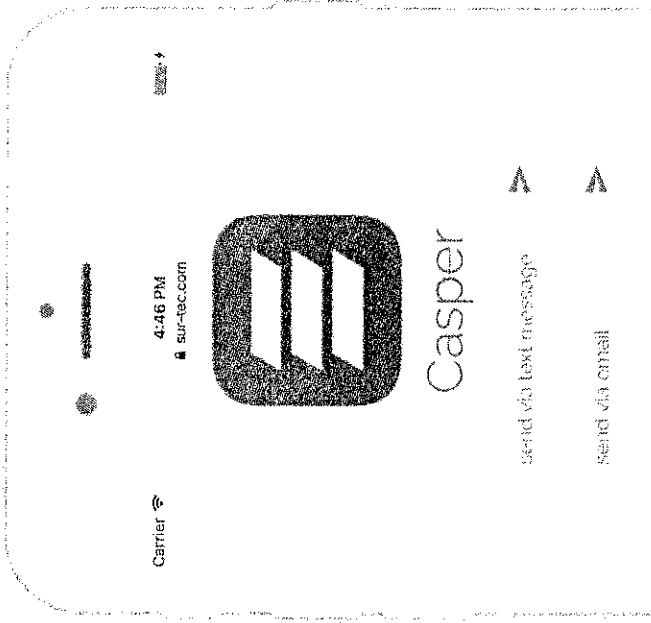
Evidence prosecutors love



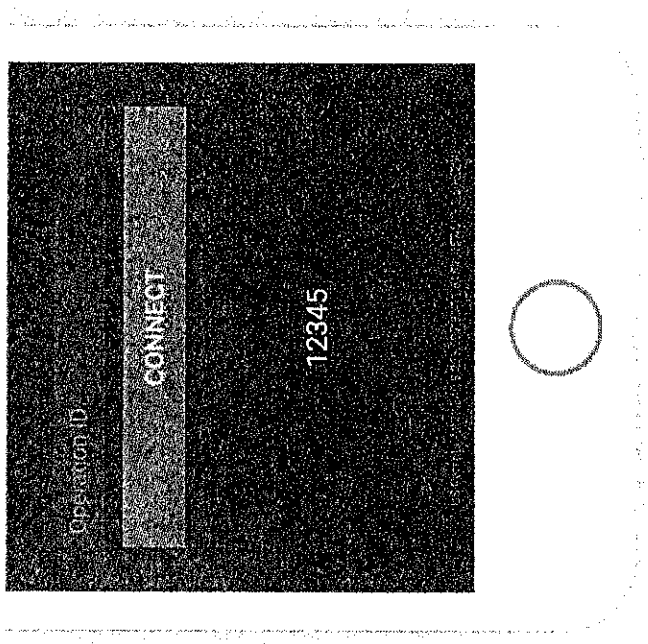
Like white on rice in a snowstorm

U.S. Patent Numbers 7,873,340 / 8,265,597 / 8,311,515 / 8,457,596 / 8,604,730
©2013 Sur-Tec - All rights reserved | 6646 Silverheel Street | Shawnee, KS 66226 | www.sur-tec.com | 800.735.2088

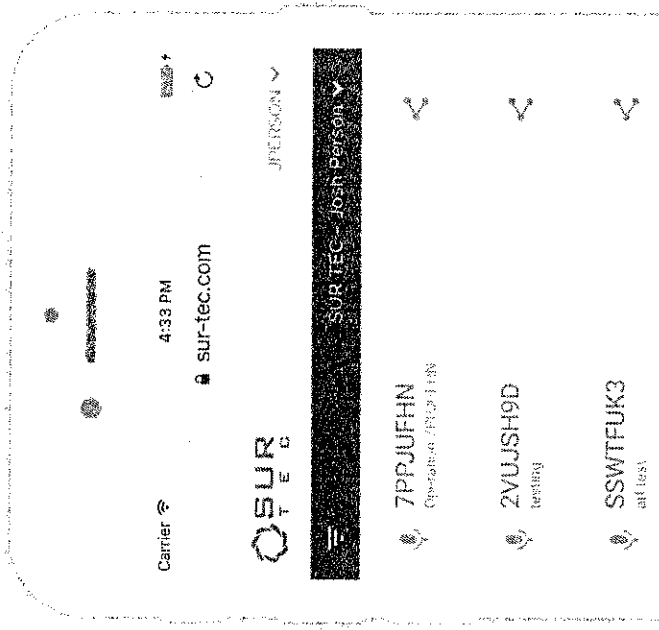




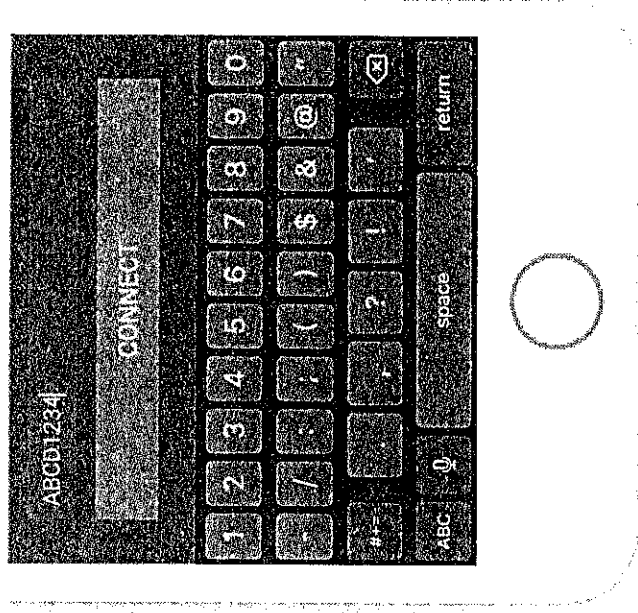
Download Casper onto as many devices as you want from www.sur-tec.com/appstore



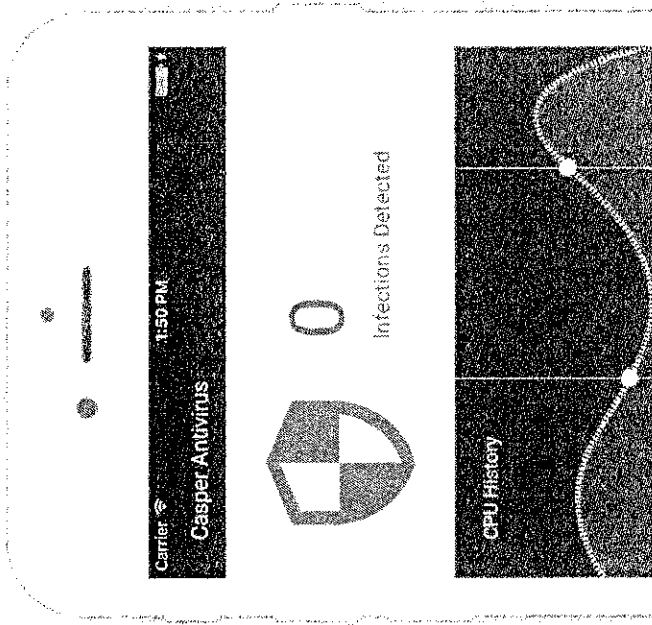
On install, each device gets a unique Device ID



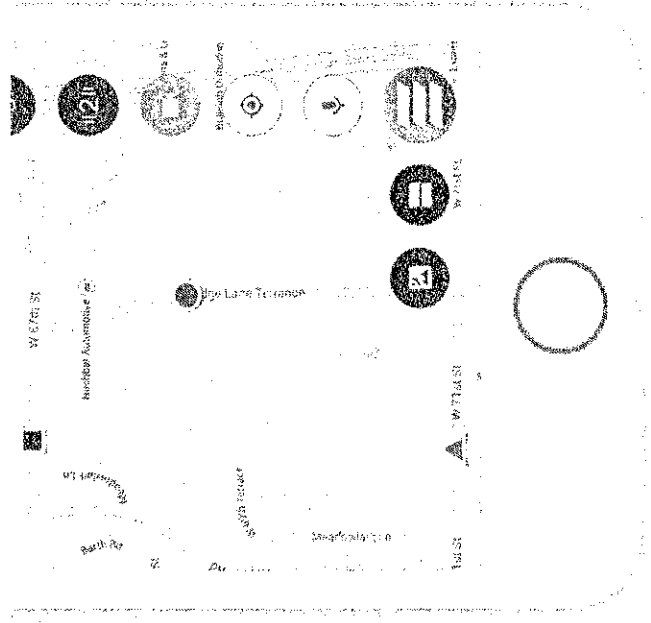
Create an Operation to assign roles and give your team something to log into



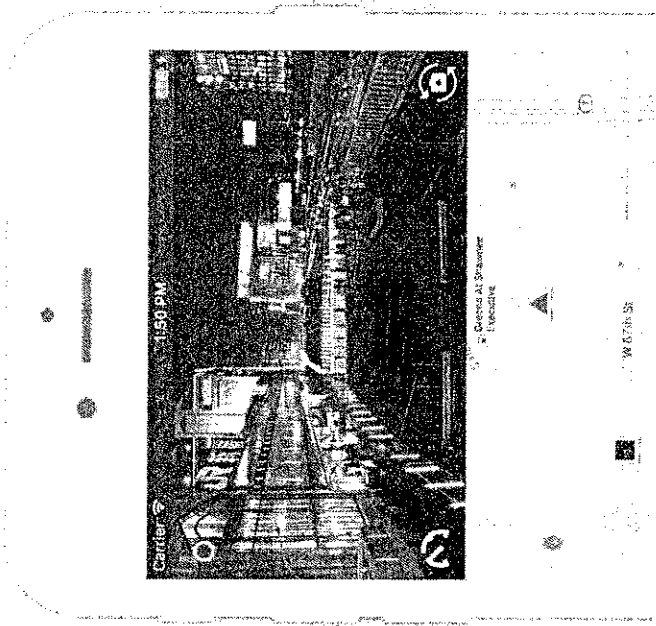
Hand the Operation ID out to your team to connect



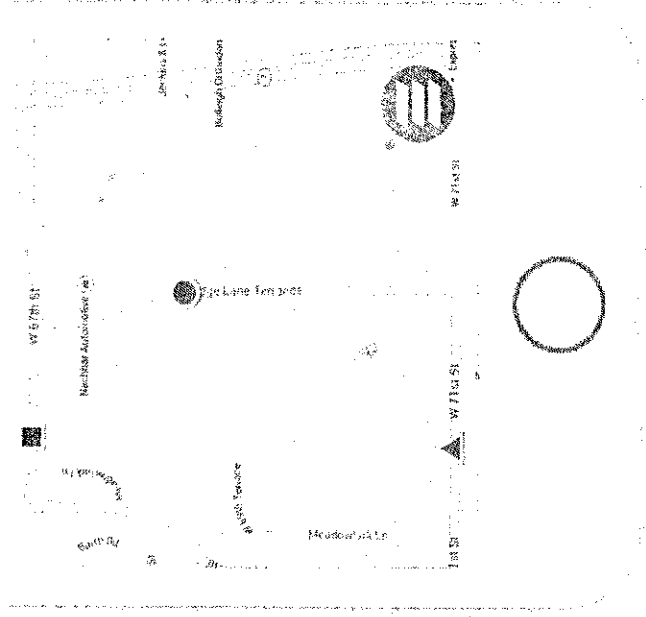
Transmitter can put Casper into Stealth mode for additional security



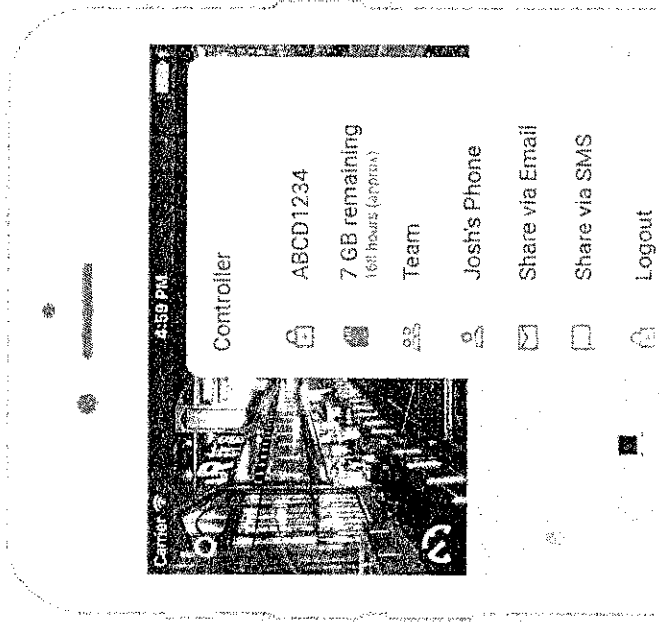
Controller can remotely control video, audio, GPS, and send vibration commands



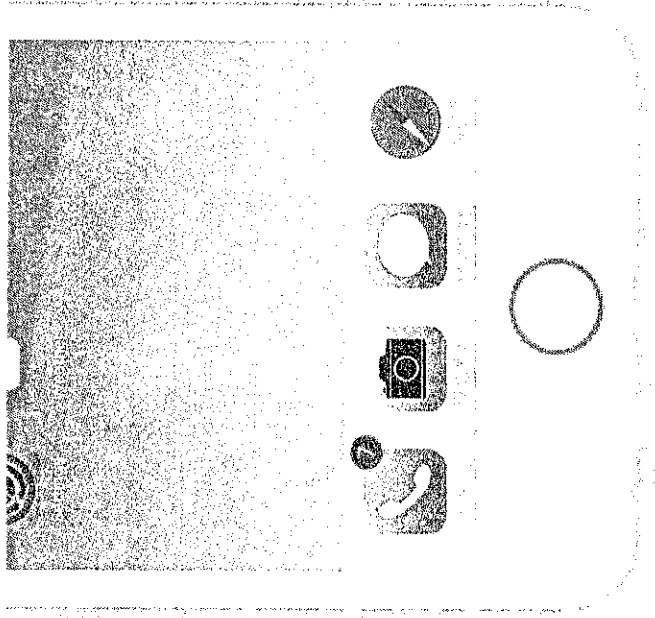
All Listeners receive the live video, audio, and GPS feeds.



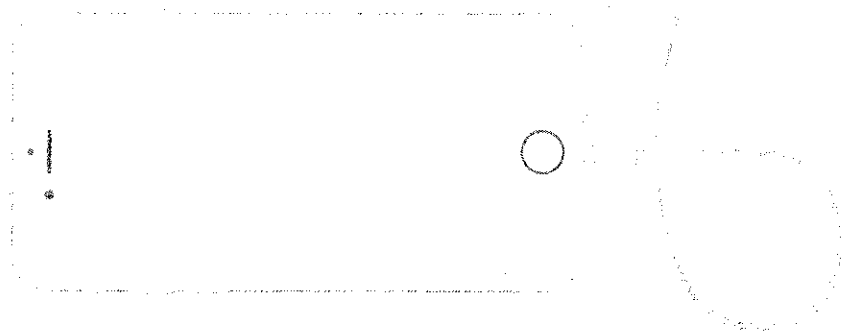
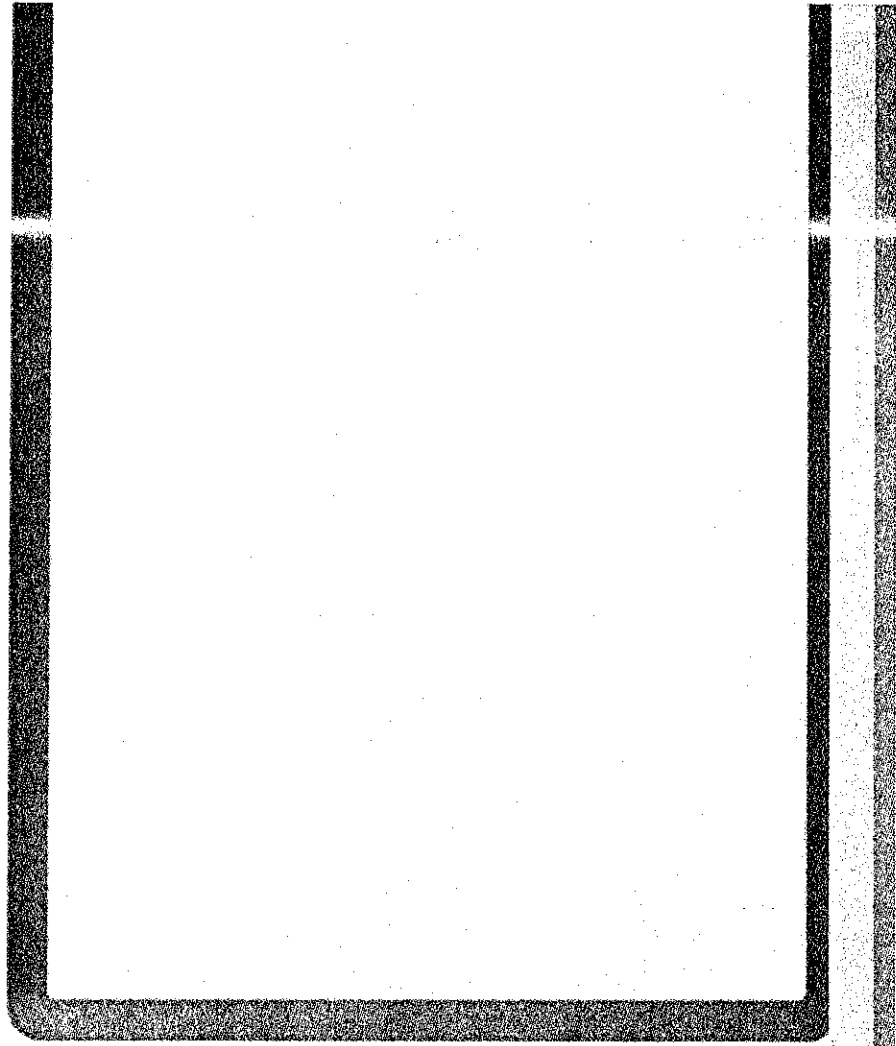
All team members are plotted on the map for operational awareness



When the operation is over
hit logout.



All Video, audio, and
GPS were recorded
directly to the devices.



Plug the device into a PC to retrieve your evidence.

Hello
Select your address

Electronics ▾ Search Amazon

EN → Hello, sign in
Account & Lists ▾ Returns & Orders

0

All Back to School Gift to College Medical Care ▾ Best Sellers Customer Service Amazon Basics Save on college Move-In Day finds

Computers Laptops Desktops Monitors Tablets Computer Accessories PC Components PC Gaming Deals



iPad Pro (12.9-inch)



iPad Pro (11-inch)



iPad Air (10.9-inch)



iPad (10th Gen, 10.9-inch)



iPad (9th Gen, 10.2-inch)



iPad mini (8.3-inch)



iPad Accessory C...



Apple iPad Pro 12.9-inch (6th Generation): with M2 chip, Liquid Retina XDR Display,

Wi-Fi 6E, 12MP front camera and 10MP Back camera

Face ID, All-Day Battery Life – Space Gray



12MP front camera and 10MP Back camera

1,089 ratings
Questions
Kid-Friendly

prime

Enjoy fast, FREE delivery, exclusive deals and award-winning movies & TV shows with Prime
Try Prime and start saving today with Fast, FREE Delivery

Buy new:

\$1,349⁰⁰

FREE Returns

FREE delivery August 14 - 16

Or fastest delivery August 9 - 14

Select delivery location

Add to Cart

Buy Now

Payment Secure transaction
Ships from Amazon.com
Sold by Amazon.com
Returns Eligible for Return, Refund or Replacement within 15 days of receipt
Support Free Amazon tech support included

Add a gift receipt for easy returns

Save with Used - Like New

\$1,194⁵⁵

FREE delivery: Aug 8 - 11

Ships from: Expercom - Apple Premier

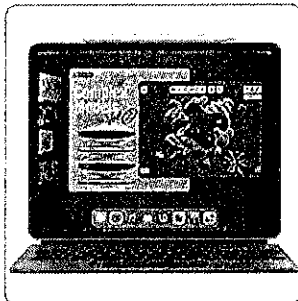
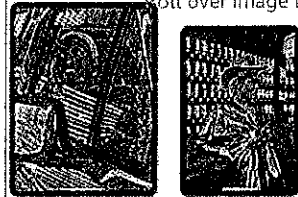
Partner

Sold by: Expercom - Apple Premier Partner

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Add an Accessory:

Roll over image to zoom in



FREE Returns

Get \$50 off instantly: Pay \$1,299.00 upon approval for Amazon Visa.

Available at a lower price from other sellers that may not offer free Prime shipping.

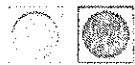
Style: WiFi

WiFi WiFi + Cellular

Size: 512 GB

1T 2T 128 GB 256 GB 512 GB

Color: Space Gray



Purchase options and add-ons

AppleCare+

Accidents happen, AppleCare+ covers them.



Brand Apple

Model Name iPad Pro


Memory 512 GB

Storage

Capacity

Sony PX Series Digital Voice Recorder, 4GB (ICD-PX470)

Item #: 2706074 | Model #: ICDPX470

4.4  [63 Reviews](#) [4 Questions](#)



Highlights [View full product details](#)

- Digital voice recorder, 4GB internal memory, up to 159 hours of recording time, black
- MP3, WMA, AAC-LC and WAV file formats, up to 199 files in folder, up to 5000 files for one drive

Details

About this product

Record quality voice memos with this Sony stereo digital voice recorder.

Keep this digital recorder on hand for when inspiration strikes. The built-in direct USB connection allows for simple file transfers to any PC, and the 62-hour battery life supports maximum recording time. The adjustable S-microphone system captures distant and quiet sounds, and the auto voice recording feature reduces background noise. This Sony stereo voice recorder features transcription playback for quick review and supports micro SD cards for expanded memory.

Digital voice recorder, 4GB internal memory, up to 159 hours of recording time, black
MP3, WMA, AAC-LC and WAV file formats, up to 199 files in folder, up to 5000 files for one drive
Windows and Mac OS connection via USB (USB type A connector, High-Speed USB compatible)

2 x AAA battery for up to 45 hours

4.5"H x 1.51"W x 0.76"D overall dimensions

Voice-activated recording is a convenience feature for hands-free recording

Focus and wide-stereo recording captures the voices you want to hear. S-microphone system records distant or quiet sounds clearly. Low cut filter eliminates unwanted noise

Equipped with stereo headphone and microphone jacks



Easy-to-read monochrome LCD

Retractable USB plug for PC connection

Sony Sound Organizer 2 software included

[Safety Data Sheet](#)

Specifications

Depth in Inches 	0.76
Height in Inches 	4.5
Max Battery Life (Hours)	62



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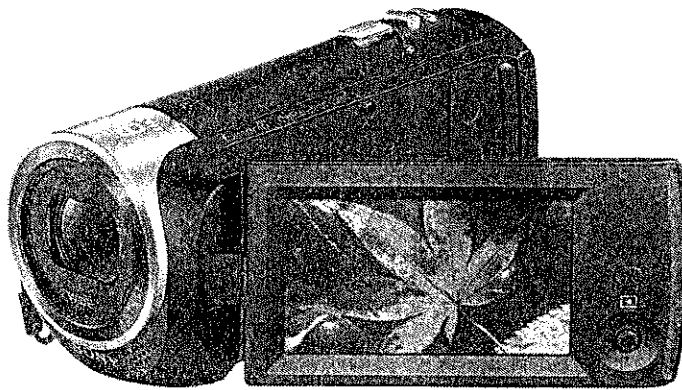
[Best Buy](#) > [Cameras, Camcorders & Drones](#) > [Camcorders](#) > [Traditional Camcorders](#)

Sony - Handycam CX405 Flash Memory Camcorder - Black

Model: HDRCX405/B SKU: 2964179

[4.5 \(2,634 Reviews\)](#) [1 Expert Review](#) [571 Answered Questions](#)

Highly rated by customers for: [Ease of use](#), [Video quality](#), [Price](#)



\$229.99

4 payments starting at

\$57.50

per month

[Learn more >](#)

Up to 3 free months of iCloud+ & 2 more

Open-Box: from \$149.99

Deals on related items:



Traditional Camcorders deals

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Cameras & Camcorders Outlet Deals



Top Deals

Protect your digital camcorder (9/19)

Most popular protection plan for your product

2-Year Accidental Geek Squad Protection

~~\$29.99~~
About \$1.25/mo.

4-Year Accidental Geek Squad Protection

~~\$49.99~~
About \$1.04/mo.

[Learn more](#)

Availability

Pickup
Ready Tomorrow

Shipping
Get it by Sun, Jul 30

Pickup at Saratoga Springs

Act Fast - Only 2 left at your store!

See it in-store: Saratoga Springs has a demo model on display.

Add to Cart

Compare

Save

More to consider (15 items)



	Maximum Focal Length ⓘ	804 millimeters
	White Balance Modes ⓘ	Auto, one push, outdoor, indoor
	Effective Pixels ⓘ	9.2 megapixels
Focus	Auto/Manual Focus	Automatic
	Focus Modes	Automatic/manual
Lens	Minimum Focal Length ⓘ	1.9 millimeters
Display	Display Type	LCD
	Screen Size ⓘ	2.7 inches
	Aspect Ratio ⓘ	16:9
	Touch Screen ⓘ	Yes
	Varying Angle Screen ⓘ	No
Video	Video Resolution (Recording) ⓘ	Full HD (1080p)
	HDR Mode ⓘ	Yes
	Slow Motion Recording	No
	Video Encoding Formats ⓘ	MP4
Compatibility	Tripod/Monopod Mountable ⓘ	Yes
	Socket Size	1/4 in.
	Memory Card Compatibility ⓘ	Memory Stick Micro, microSD, microSDHC, microSDXC
Features	Face Detection ⓘ	Yes
	Action Camera	Yes
	Integrated Speaker(s)	Yes
	Night Recording ⓘ	Yes
	Wind Noise Reduction ⓘ	Yes
Connectivity	Charging Interface(s) ⓘ	USB
	Wireless Connectivity ⓘ	None
	Output(s)	Composite video, HDMI



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Chief Bush

Ed mentioned some potential funds from a subject named Brad Armstrong and a connection to DCJS? 518-879-7596.

I made a list of what we would need to get started on our own:

- 1) Surtec subscription per year-\$2963
- 2) Sony Hand held camcorder- \$300
- 3) Sony digital recorder-\$120 (recording telephone calls between target and CI)
- 4) Ipad if needed to run the Surtec program, but may be able to run it off two Iphones. \$1000

All in would be in the ballpark of \$4500 that's with the Ipad if needed. Im pretty sure we can use two cell phones. Let me know if you have any questions. I have the cell number for the SURTEC rep.

Thanks Charlie

Our new Deputy Treasurer, Amanda Auspelmyer-Rivera, started working for the Village on July 31st and is doing a very good job so far! Amanda has been a wonderful addition to our Village office.

Water/sewer payments are due by September 1st to avoid penalties. We are current in the entering of water payments through August 10th.

Village Pool fees received this year total \$24,784.00.

I have had a conversation with Bob Fox and Melissa Columbo from EFPR, our accounting firm, regarding what is required to complete the AUD. I have started gathering information that they have requested and will continue to work closely with them for the next few months.

Village of Ballston Spa

Ballston Spa Brownfield Opportunity Area C1002076

Step 1 – Nomination Study
Issued by the Village of Ballston Spa

Request for Proposals **Project Coordination and Planning Services**

Schedule:

RFP Issued: September 1, 2023

Proposal Due Date: **12:00 NOON, Tuesday, October 3, 2023**

Selection for Interviews and/or Contract Negotiations: Late October

Submit Five (5) Bound Copies and one electronic copy to:

Village of Ballston Spa
c/o Village Administrator
66 Front Street
Ballston Spa, NY 12020
Attention: **BOA Project RFP**

Village of Ballston Spa

Ballston Spa Brownfield Opportunity Area

Request for Proposals

Nomination Study

Project Coordination and Planning Consultant Services

A. Project Overview and Context

The Village of Ballston Spa intends to develop a BOA Nomination for a 176-acre area encompassing a large portion of the downtown center along NYS Rt. 50, and two adjacent water bodies - the Gordon and Kayaderosseras Creeks. The Village intends to prioritize four areas for redevelopment within the BOA to create transformative redevelopment of the central core of the village, increase access to waterfront property, and return underutilized properties to productive use.

The overarching goal of the Ballston Spa BOA Nomination is to identify strategic opportunities to guide development and reinvestment that advance revitalization objectives in a sustainable manner. The primary community revitalization objectives to be achieved by this project include:

1. Sustainable redevelopment in the Village center and protect local water quality.
2. Use existing conditions and market feasibility analysis to future redevelopment solutions.
3. Work with private property owners of key brownfield/underutilized/vacant sites to encourage opportunities for private development and village revitalization.
4. Engage the public in a meaningful way to solicit input on the future use of strategic sites.
5. Refine vision and goals for the BOA and create a master plan for the BOA area.
6. Create a series of master redevelopment plans for strategic sites to help articulate the desired development outcome.
7. Identify and remediate existing contaminated sites to improve economic and public health.
8. Pursue BOA designation and implementation funding.
9. Address residential and commercial vacancies and improve economic development in Ballston Spa.

The project furthers the goals of the CDREDC's Strategic Plan & URI, including:

- Leverage and collaborate.
- Bring cities to life.
- Showcase local beauty.
- Building vibrant cities for businesses and families.

The Nomination will provide an in-depth and thorough description and analysis of existing conditions, opportunities, and reuse potential for properties located in the proposed Brownfield Opportunity Area (BOA) with an emphasis on the identification and reuse potential of strategic sites that are catalysts for revitalization and culminate in designation of the area by the Secretary of State.

Key project objectives include:

- Identifying and providing a clear description and justification of a manageable study area and associated boundaries.
- Establishing a community and stakeholder participation process.
- Developing a clear community vision and associated goals and objectives for the study area.
- Completing a comprehensive land use assessment and analysis of existing conditions in the study area, including an economic and market trends analysis, to determine the range of realistic future uses and types of redevelopment projects to revitalize the study area.
- Identifying strategic sites that represent key redevelopment opportunities and fully examining their redevelopment potential.
- Based on the analysis, a description of key findings and recommendations for future uses and other actions for redevelopment and community revitalization.
- Providing a series of key recommendations to serve as the basis for project implementation.
- Submission of a BOA designation package for approval and official designation by the Secretary of State.

This solicitation seeks Proposals for a **Project Coordination and Planning Consultant** to provide certain services to facilitate the completion of the Ballston Spa Brownfield Opportunity Area – including such tasks as environmental “screening” of selected properties, an economic and demographic analysis of the study area, and a planning study with community outreach and participation. At the completion of the Nomination Study, the contracted Consultant will prepare and present their findings at a minimum of one (1) public meeting. This public meeting will allow members of the Board of Trustees, as well as other stakeholders and the community at large, to ask questions and review the information presented.

Other project tasks may include, but not be limited to, the comprehensive assessment of environmental and natural resource conditions within the Ballston Spa Brownfield Opportunity Area, an economic and demographic analysis which examines how the residents, businesses, and public services interact within, and immediately surrounding, the areas, and a land use planning analysis, which will use the information collected as well as community input to recommend actions to improve properties within the study area, enhance residential quality of life, and encourage business development and vitality within the Village of Ballston Spa.

The New York State Department of State (DOS) provides a Nomination Study Report format that the Steering Committee, will follow as closely as possible. The Nomination Study will provide a detailed description and analysis of the proposed Ballston Spa Brownfield Opportunity Area study area. Key project objectives include:

- Identifying a clear description and justification of the manageable study area and associated boundaries, in narrative and map form
- Establishing a community participation process to begin to identify a common vision for the area, including goals and objectives
- Identifying the multi-agency, private-sector, and other community partnerships necessary to guide and inform the process and leverage assistance for revitalizing the community
- Completing a preliminary planning analysis of the study area and preliminary revitalization recommendations or action items
- Providing key recommendations, including an identification of specific action items to be conducted, to serve as the basis of the Village's Nomination of the study area

The Consultant will be responsible for assisting the Board of Trustees and any other agencies or officials, with all appropriate tasks, as identified in the Final Workplan incorporated as part of the State Assistance Contract documents for this BOA Project. The Workplan is attached hereto and incorporated herein as Appendix A. The Consultant will also be responsible for preparing and filing any and all Department of State Reports, Department of State Project Status Reports, and MWBE Reports necessary.

B. Consultant Selection Criteria

Proposals will be reviewed by the Board of Trustees. Top-ranked respondents may be invited for an interview and proposal presentation, and or cost proposal and fee negotiations. Factors for considering consultant qualifications shall include:

- Demonstrated experience facilitating community planning and visioning processes;
- Technical skills and experience to provide community presentations, progress reports, detailed property data retrieval and production of GIS maps and photographs and analysis of the property sites within the BOA area;
- Experience of consultant team with brownfield inventory and site assessment activities;
- Relevance of proposed consultant team, key personnel availability, financial strength and familiarity with community and/or similar municipal projects;
- Capacity of the consultant team to complete tasks within the desired program schedule.

Other Related Factors:

- Participate in public meetings and presentations to the Board of Trustees, other government agencies, community and civic groups, including preparation of drawings, presentation boards, and PowerPoint, or other computer-based or electronic presentations, as appropriate for the material being presented;
- Conduct site visits within the Ballston Spa Brownfield Opportunity Area boundaries and confer with the Board of Trustees, or its designee and other appropriate parties, as needed;
- Coordinate the activities of experts and/or advisors retained by the Consultant;
- Encourage minority and women-owned businesses to participate in the project.

C. Proposal Submission Guidelines

Proposers are requested to provide complete Proposal packages which include:

- Firm contact information with primary staff person responsible for this Project;
- Form of organization / corporation;
- Key Personnel (project management team, including any subcontractors) and their role, experience, and expertise;
- Statement of Qualifications;
- Description of availability of key personnel (the Consultant will be expected to meet with the Steering Committee to provide updates on progress and to address any issues/questions committee members may have throughout the planning process);
- Target dates for completion of project deliverables including reports, plans, studies, and tasks as outlined in the BOA Workplan;
- **Fee Proposal.** Respondents must provide a cost estimate for the overall project and provide a breakdown of estimated cost by Task / Component (and if applicable, by subcontractor(s), such estimate not to exceed One Hundred Seventy Thousand and NO/100 Dollars (\$170,000.00);
- Identification of all certified MWBE contractor(s) and/or subcontractor(s)
- Previous or similar planning projects (list), and municipal and/or professional references relevant to this project, including the following (as suggestions):
 1. Municipal planning projects
 2. Brownfield assessment and remediation
 3. Zoning revisions and SEQRA (EIS) procedures
 4. Understanding of demographics, economic conditions, and market trends
 5. Community visioning projects and citizen participation

D. Evaluation Criteria

Each proposal will be evaluated based on the information submitted. The proposals will be reviewed by village staff and a representative from NYS

Department of State (DOS). Qualified applicants may be asked to submit additional details, attend meeting(s) with village officials, and/or submit digital responses to additional clarifying questions.

Proposals received within the stated deadline and containing all required information will be evaluated using the following criteria:

1. Qualifications (25%)

- a. Qualifications of the firm and of the key members of its proposed project team will be evaluated based on education, professional expertise, and relevant experience with respect to the tasks to be performed.

2. Experience (25%)

- b. Successful municipal brownfields experience, specifically any project related to the NYS Department of State (“DOS”) BOA plans and BOA designations achieved.
- c. Demonstrable organizational skills necessary for result-oriented community planning.
- d. Recommendations from previous clients.

3. Proposed Project Plan (25%)

- a. Submission of a realistic project plan that shows an understanding of the scope of work and the goals to be achieved. Emphasis is given to plans that include a thorough proposal for community outreach and engagement.
- b. A schedule that will complete all project tasks within the allotted time and budget.
- c. A proposal that demonstrates the respondent’s ability to meet MWBE requirements (30% of grant award).
- d. Quality and completeness of the response.
- e. Applicability of proposed alternatives or enhancements to information requested.

4. Project Costs (25%)

- a. A reasonable total project budget and payment schedule.
- b. The cost-effectiveness of the proposal.

E. Other Factors

The Village reserves the right to waive any informalities in the selection process and to reject any or all Proposals at its option. Additional information may be requested during the review and selection process. The Village reserves the right to conduct interviews with all or none of the proposing firms.

Minority-and Women-Business Enterprises (MBE-WBE) and Disadvantaged Enterprises (DBEs) are encouraged to respond to this RFP, and/or to seek subcontractor arrangements, if appropriate, with responding proposers.

Interested parties may submit questions via email to the Village Administrator Jennifer Moskowitz at villageadmin@villageofballstonspa.org. Responses will be provided at the convenience of the Village of Ballston Spa. Efforts will be made to respond to questions in a timely fashion, but not necessarily to anyone other than the contact person asking the initial question.

Proposal Due Date: 12:00 Noon on October 3, 2023

Submit Five (5) Bound Copies and one electronic copy to:

Village of Ballston Spa
c/o Village Administrator
66 Front Street
Ballston Spa, NY 12020
Attention: **BOA Project RFP**

The Village of Ballston Spa is an Equal Opportunity Employer.

APPENDIX A – WORK PLAN

Village of Ballston Spa BOA Nomination

1. Project Description

The Village of Ballston Spa intends to develop a BOA Nomination for a 176-acre area encompassing a large portion of the downtown center along NYS Rt. 50, and two adjacent water bodies - the Gordon and Kayaderosseras Creeks. The village intends to prioritize four areas for redevelopment within the BOA to create transformative redevelopment of the central core of the village, increase access to waterfront property, and return underutilized properties to productive use.

The overarching goal of the Ballston Spa BOA Nomination is to identify strategic opportunities to guide development and reinvestment that advance revitalization objectives in a sustainable manner. The primary community revitalization objectives to be achieved by this project include:

1. Sustainable redevelopment in the Village center and protect local water quality.
2. Use existing conditions and market feasibility analysis to future redevelopment solutions.
3. Work with private property owners of key brownfield/underutilized/vacant sites to encourage opportunities for private development and village revitalization.
4. Engage the public in a meaningful way to solicit input on the future use of strategic sites.
5. Refine vision and goals for the BOA and create a master plan for the BOA area.
6. Create a series of master redevelopment plans for strategic sites to help articulate the desired development outcome.
7. Identify and remediate existing contaminated sites to improve economic and public health.
8. Pursue BOA designation and implementation funding.
9. Address residential and commercial vacancies and improve economic development in Ballston Spa.

The project furthers the goals of the CDREDC's Strategic Plan & URI, including:

- Leverage and collaborate.
- Bring cities to life.
- Showcase local beauty.
- Building vibrant cities for businesses and families.

The Nomination will provide an in-depth and thorough description and analysis of existing conditions, opportunities, and reuse potential for properties located in the proposed Brownfield Opportunity Area (BOA) with an emphasis on the identification and reuse potential of strategic sites that are catalysts for revitalization and culminate in designation of the area by the Secretary of State.

Key project objectives include:

- Identifying and providing a clear description and justification of a manageable study area and associated boundaries.
- Establishing a community and stakeholder participation process.
- Developing a clear community vision and associated goals and objectives for the study area.
- Completing a comprehensive land use assessment and analysis of existing conditions in the study area, including an economic and market trends analysis, to determine the range of realistic future uses and types of redevelopment projects to revitalize the study area.
- Identifying strategic sites that represent key redevelopment opportunities and fully examining their redevelopment potential.

- Based on the analysis, a description of key findings and recommendations for future uses and other actions for redevelopment and community revitalization.
- Providing a series of key recommendations to serve as the basis for project implementation.
- Submission of a BOA designation package for approval and official designation by the Secretary of State.

2. Project Attribution and Number of Copies

The contractor must ensure that all materials printed, constructed, and/or produced acknowledge the contributions of the NYS Department of State to the project. The materials must include the following acknowledgment:

"This (document, report, map, etc.) was prepared for the New York State Department of State with funds provided under the Brownfield Opportunity Areas Program."

The contractor shall ensure that all materials printed, constructed and/or produced with funding provided by the BOA Program form a unified and coherent report and include the components and products described in this work plan. Submission of pre-existing and stand-alone data and reports are not acceptable as substitutes.

The contributions of the Department must also be acknowledged in community press releases and other notices issued for the project, including web site postings and other forms of digital distribution. Project press releases and other notices shall be submitted to the Department for review and approval prior to release, to ensure appropriate attribution.

Unless otherwise specified in the Work Plan tasks, the Contractor must submit all required products in the following formats:

- Draft products: one digital copy of each product must be submitted in Microsoft Word and Adobe® Acrobat® Portable Document Format (PDF), created using 300 dpi scanning resolution.
- Final products: one electronic copy of each product must be submitted in Microsoft Word, PDF (20 MB maximum), created using 300 dpi scanning resolution. In addition, one paper copy of each final product (including reports, designs, maps, drawings, and plans) must be submitted.
- Electronic data for all Geographic Information System-based mapping products and associated spatial data must be submitted in either ArcGIS format, or similar product acceptable to the Department, and comply with the requirements for Contract GIS Products. Formal metadata must be provided with all digital GIS data which includes, at minimum, a file summary/abstract, intended use, data, source data, and author information.
- Electronic data for all designs, drawings, and plans must be submitted in the original software that they were created (such as CAD format or other similar product acceptable to the Department), as well as in JPG format.
- Photographs and images must be submitted in JPG format with a minimum resolution of 300 dpi must be dated and captioned with the location and a brief description of the activity being documented and include any associated metadata (including the photo's GPS location where available).

3. Compliance with Procurement Requirements

The municipal attorney, chief legal officer, or financial administrator for the municipality (contractor) shall certify to the Department of State that the procedures of the municipality, established pursuant to General Municipal Law, Section 104-b, were fully complied with for all contracts for professional services.

4. Project Components

Component 1: Project Start-up

Task 1.1: Project Planning Meeting

The contractor and Department of State (DOS) shall conduct an initial meeting with key project participants to discuss and finalize project scope, objectives, approach, and regulatory requirements. The meeting agenda should include:

- Project scope
- SEQRA requirements
- Potential services required
- Approach to developing project steering committee
- Public engagement strategy
- MWBE requirements and approach

Meeting Materials:

- Agenda
- Project workplan & budget
- Supporting materials (e.g. maps, visuals, list of proposed advisory members, etc.)

Attendees:

- Contractor
- Agency representatives from DOS and other agencies as applicable

Products:

- Meeting summary and notes

Task 1.2: Establish Project Steering Committee

The contractor shall establish a steering committee to oversee the Nomination process. The committee shall include members that represent diverse interests within the community, including, but not limited to:

- local municipal officials from the community in which the project is located
- community-based organizations or not-for-profit organizations
- regional planning entities or other regional groups
- appropriate state and/or federal agencies
- private or business interests
- property owners
- non-governmental entities and civic groups
- environmental groups or organizations
- area residents and the public at large

The contractor shall complete and distribute a list of the steering committee members.

Product:

- List of steering committee members

Task 1.3: Solicitation of Consulting Services

Should the contractor require the services of a consultant, a Request for Proposals (RFP) process shall be used to select a consultant based on the submission of a full project proposal. The process is as follows:

- The contractor shall draft an RFP, including a complete project description, a detailed project scope, expected outcomes, and criteria for selecting a preferred proposal.
- The RFP shall be submitted to the DOS for review and approval prior to release for solicitation of proposals.
- After the DOS has reviewed and approved the request for proposals, the contractor may directly notify, through e-mail or regular mail, potentially qualified consultants of the request for proposals.
- The contractor shall also advertise the RFP in the NY State Contract Reporter, a regional newspaper, or an appropriate trade publication.
- The contractor shall conduct and document outreach to NYS-certified MWBE consultants to ensure State goals can be met.

Product:

- An approved RFP sent to qualified subcontractors
- Copy of advertisement
- Documentation of outreach to qualified NYS-certified MWBE firms

Task 1.4: Consultant Selection and Compliance with Local Procurement Requirements

In consultation with DOS, the contractor shall review all proposals received as a result of the RFP. Based on the reviews of the consultant proposals, the contractor shall organize and conduct interviews of the top-ranked candidate consultants.

At a minimum, the following criteria are suggested for use in evaluating consultant responses:

- Quality and completeness of the response
- Understanding of the proposed scope of work
- Applicability of proposed alternatives or enhancements to information requested
- Cost-effectiveness of the proposal
- Qualifications and relevant experience with respect to the tasks to be performed
- Reputation among previous clients
- Ability to complete all project tasks within the allotted time and budget
- Ability to meet MWBE requirements

Incomplete proposals that do not address all the requested components should not be accepted for review and consideration.

The consultant selected is subject to approval by the DOS.

The municipal attorney, chief legal officer, or financial administrator for the municipality (contractor) shall certify to the Department of State that the procedures of the municipality, established pursuant to General Municipal Law, Section 104-b, were fully complied with for all contracts for professional services.

Products:

- List of top-ranked candidates and letter from the contractor to DOS indicating the selected consultant
- Signed DOS Procurement Certification for contracts for professional services

Task 1.5: Consultant Subcontract Preparation and Execution

The contractor shall prepare a draft subcontract to guide the work of the selected consultant. The contract shall contain:

- A detailed workplan with adequate opportunities to review project milestones
- A payment schedule (payments must be tied to receipt of acceptable *products* in the work plan)
- Project cost detailed by component tasks.
- The professionals from the firm that will be directly involved in the project.
- The composition of the entire team, including firm name and area of responsibility/expertise.
- The persons, including firm affiliation assigned to undertake and complete specific workplan tasks.

The contractor shall submit the draft subcontract to the DOS for review and approval. A copy of the final subcontract, incorporating DOS's comments on the draft, shall be provided to DOS.

Product:

- Draft and final, executed consultant subcontracts

Task 1.6: Project Scoping Session with the Selected Consultant

The contractor, DOS, and the consultant shall hold a scoping session to review project and contract requirements and to share information with the consultant that will assist in completing the project. Topics shall include but are not limited to the following:

- project scope and budget allocation
- study area boundary
- community participation and visioning process
- project goals and objectives
- existing relevant information (maps, reports, etc.)
- access to information from past or current projects
- responsibilities of the participants (contractor, consultant, and DOS)
- timeframes and deadlines
- reporting requirements
- SEQRA requirements
- expected products, including BOA Designation
- MWBE goals

Product:

- Meeting summary prepared by the contractor, with note of agreements/understandings reached, and distributed to scoping session participants

Component 2: Community and Stakeholder Participation

Task 2.1: Community and Stakeholder Participation

The contractor shall prepare a Community and Stakeholder Participation Plan of agreed upon public outreach and techniques to ensure meaningful public participation in the planning process. This includes an anticipated schedule of public meetings, other outreach methods and techniques that will be used to ensure public participation throughout the course of preparing the Nomination. This shall be completed in coordination with the Steering Committee, DOS and other key participants.

Public participation should occur early and consistently in the process through visioning workshops, informational meetings, project presentations, and public education. The contractor shall provide DOS with a minimum of two weeks' advanced notice of all proceedings relative to the public participation process.

Community outreach should serve to inform the public about the project and serve as a means for the public and stakeholders to participate in forming the plan, thus ensuring community support and understanding. The public participation outline and summary description shall be subject to review and approval by the DOS.

Public participation shall include, at a minimum:

- the use of a local steering committee to guide plan preparation
- the preparation, update and maintenance of a community contact list that includes the names, addresses, telephone numbers, and e-mail addresses of individuals and organizations with a stake in the proposed area. This is to be used on a regular basis to keep stakeholders informed of progress on the plan
- an initial public kick-off meeting to explain the BOA Program and the project's intent and scope and to solicit initial public input to develop, refine, or confirm the community's vision for the study area, project goals and objectives, opportunities and constraints
- the review of the vision statement, goals, and objectives
- review of the existing conditions analysis
- review of the economic and market trends analysis and an exploration of alternative approaches to redevelopment and revitalization
- a public presentation and informational meeting on the draft Nomination that solicits feedback on the entire project, including the strategic sites, redevelopment strategies, findings of all analyses, and key recommendations.

Methods and techniques may include, but are not limited to:

- public informational or outreach meetings
- stakeholder interviews
- visioning sessions
- design charettes
- workshops
- discussion groups
- surveys
- public meetings and hearings

Product:

- Approved Community and Stakeholder Participation Plan, including anticipated schedule of public proceedings. The contractor shall be responsible for carrying out the plan.

Component 3: Draft Nomination

The contractor shall prepare a draft Nomination that provides a thorough description and analysis of the study area.

The draft Nomination will consist of the following tasks addressing the study area. Emphasis will be placed on the identification and reuse potential of strategic sites and area-wide improvements that are catalysts for revitalization.

Task 3.1: Description of the Project and Area Boundary

The contractor shall prepare a narrative and visual description of the following elements:

Contract Number: C1002076

Page 6 of 18, Attachment C - Work Plan

A. Lead Project Sponsors

Description of project sponsors, including relationship and organizational structure between the sponsoring municipality and involved community-based organizations OR the relationship and organizational structure between the sponsoring community-based organization and the municipality.

B. Project Overview and Description

Overview of the project, including: the relationship of the study area to the community and region; acreage in the study area; and the number and size of brownfield sites and other abandoned, vacant, or partially developed sites located in the proposed BOA. The description shall include the relationship of the BOA to any existing comprehensive plans and/or economic development initiatives, reports or strategies with an emphasis on creating linkages between related planning and implementation efforts.

The description shall include the area's revitalization potential in terms of a range of opportunities, including:

- new uses and businesses
- creating new employment and generating additional revenues
- new public amenities or recreational opportunities
- restoring environmental quality

Map Requirements:

- A map showing the boundary of the BOA area
- A Community Context Map that shows the location and relationship of the community (in which the proposed BOA is located) to the surrounding municipality, county and region

C. Community Vision and Goals

A cohesive vision statement for the area shall be prepared with a list of specific goals to be achieved relative to community redevelopment and revitalization as shaped and expressed by the community.

Project goals should be expressed in terms of opportunities for:

- development projects that align with community needs
- new housing
- improved economic conditions
- advancing environmental justice concerns
- additional open space and/or recreational amenities
- enhancing resiliency
- other goals and objectives relevant to the redevelopment of brownfields or underutilized property

Such goals shall, where practicable, reflect to the degree appropriate the principles of sustainable community development, including, but not limited to:

- strengthening and directing development towards existing communities' centers
- fostering distinctive, attractive communities with a strong sense of place
- mixing land uses
- taking advantage of compact building design
- creating a range of housing opportunities and choices, including affordable housing
- reusing historic buildings and preserving historic sites
- preserving open space, farmland, natural beauty, and critical environmental areas
- providing a variety of transportation choices (public transit, pedestrian, bicycle, etc.)

- creating walkable neighborhoods
- improving social, economic, and environmental resilience in the community
- using best management practices for storm water management
- making development decisions predictable, fair and cost effective
- encouraging community and stakeholder collaboration in development decisions

D. BOA Boundary Description

A description and justification of the proposed BOA boundary. The borders of a BOA boundary should follow recognizable natural or existing boundaries such as, but not limited to: highways, local streets, rail lines, municipal jurisdictions or borders, or water bodies. The project study area should be between 50-500 acres.

In determining the borders, consideration may be given to:

- land uses that affect or are affected by identified potential brownfields, vacant, or underutilized property
- natural or cultural resources with a physical, social, visual or economic relationship to identified potential brownfields
- areas necessary for the achievement of the expressed goals of the BOA

Map Requirement:

- The description shall include a BOA Boundary Map that clearly shows and identifies the location and boundaries of the study area

Products:

- A complete narrative Description of the Project with the community's vision and goals, BOA Boundary, and all required maps, as described in Task 3.1 above. This will be presented in the draft Nomination as Section 1

Task 3.2: Description of Community Participation Process and Outcomes

The contractor shall prepare a narrative description of the following elements:

A. Outcomes from Community and Stakeholder Participation

Description and analysis of community and stakeholder input and feedback received during meetings and workshops or other community participation, as outlined in Component 2. This analysis should identify key outcomes that inform the project. The contractor shall also provide a detailed description of all meetings and workshops and other community outreach activities that occurred.

Product:

- A complete narrative description of the activities and outcomes from Community and Stakeholder Participation as described in Task 3.2 above. This shall be presented in the draft Nomination as Section 2.

Task 3.3: Existing Conditions Analysis

The contractor shall prepare an analysis of the existing conditions of the proposed BOA that include the following elements:

A. Community and Regional Context

A description of the contextual relationship of the proposed BOA to the community, municipality, and region that places the proposed BOA area into a larger context. The description may include indicators relevant to the Nomination study, such as:

- demographic information, including socially vulnerable populations
- housing trends and needs
- economic conditions
- land use history and current status
- transportation systems
- infrastructure
- natural features
- current and future hazard/climate risks (e.g., information from local and/or State Hazard Mitigation Plan)

B. Inventory and Analysis of the Proposed BOA

An inventory and analysis for the entire BOA, including a thorough analysis of existing conditions, opportunities, and reuse potential for properties located in the proposed BOA. This analysis should provide support for the reuse potential of strategic sites that are identified by the community as catalysts for revitalization.

The purpose of the inventory and analysis is to make specific and realistic recommendations in the proposed BOA for implementation projects, including land and groundwater use. Therefore, this analysis must include all of the relevant information needed to contextualize and develop these recommendations.

In order to clearly organize and present the inventory and analysis, the BOA may be divided into logical geographic sectors, or subareas. The study area, sectors, and/or subareas must be described in terms such as: total acres; acres developed and vacant, including strategic sites for redevelopment; and percent of the total area or sector developed with specific land use types and percent of land area vacant.

The inventory and analysis shall include, but not be limited to, an analysis of the following:

Existing Land Use and Zoning

An analysis of the existing land use pattern and zoning districts within the BOA, including:

- location of study area as it relates to the community
- total land area in acres and area of each sector or subarea in acres of the proposed BOA
- existing and adjacent land and water uses including, but not limited to, residential, retail, commercial, mixed use, water-dependent, industrial and manufacturing, publicly or privately owned vacant or underused sites, dedicated parks and dedicated open space, and institutional uses, and cultural uses
- land area committed to each land use category
- brownfield sites and all underused, abandoned, or vacant properties that are publicly and privately owned
- known data about the environmental conditions of the properties in the area
- climate/hazard risk data or information
- existing zoning districts and other relevant local laws or development controls guiding land use including but not limited to historic districts
- local, county, state or federal economic development designations or zones

Map Requirements:

The analysis of the existing land use pattern and zoning must include:

- an Existing Land Use Map that shows the pattern of existing land use
- an Existing Zoning Map that shows the location and type of zoning districts as well as economic designations or zones

Brownfield, Abandoned, and Vacant Sites

An inventory, analysis and narrative description of brownfield, vacant and abandoned sites within the BOA area. The inventory should include, at a minimum, physical features, including location, proximity to other key sites, size and condition, potential contamination issues, historic hazard impacts, current and future climate/hazard risks, and use potential.

This inventory and analysis may be based on: review of existing or historical records and reports, aerial or regular site photographs, and existing remedial investigations, studies and reports; field observations from locations adjacent to or near the site, or, if permission is granted, from being present on the site; interviews with people that are familiar with the land use history of the site; and/or any other known data about the environmental conditions of the properties in the proposed BOA, as needed.

For each relevant brownfield and abandoned or vacant site, complete descriptive profiles shall be provided. These profiles should include details that describe the relevance of these sites in relation to the proposed BOA study and contribute to an understanding of the reuse potential of these sites. At a minimum, this shall include, but is not limited to:

- site name and location, including owner, site address, and size in acres
- location on the Underutilized Sites Location Map
- current use or status and zoning
- existing infrastructure, utilities, and site access points
- proximity to existing transportation networks
- natural and cultural resources or features
- If applicable, flood zone and which type (A,AE)
- adjacent uses
- environmental and land use history, including previous owners and operators
- known or suspected contaminants, and the media which are known or suspected to have been affected (soil, groundwater, surface water, sediment, soil gas) based on existing environmental reports and other available information
- use potential (residential, commercial, industrial, recreational) including potential redevelopment opportunities

Map Requirement:

- The description shall include an Underutilized Sites Location Map that clearly shows the location, borders and size of each brownfield site and other underutilized, abandoned or vacant sites showing private or public ownership, with an identifying reference to the attached profiles.

Land Ownership Pattern

A description and analysis of the private and public land ownership including: land and acres held in public ownership (municipal, county, state, and federal); land held in private ownership; brownfields held in private or public ownership; and land committed to road/rights-of-way.

Map Requirement:

- The description shall include a Land Ownership Map that shows public and private land ownership.

Parks and Open Space

A description of all public (municipal, county, state, and federal) and privately-owned lands that have been dedicated for or committed to parks or open space use including an analysis of adequacy of parkland, condition and utilization.

Map Requirement:

- The description shall include a Parks and Open Space Map that shows lands that have been dedicated or committed for park or open space use.

Building Inventory

An inventory, description and analysis of key buildings in the area, including building name, levels, gross square footage, original use, current use, condition, and ownership.

Map Requirement:

- The description shall include a Building Inventory Map that shows the location of key buildings.

Historic or Archeologically Significant Areas

A description and analysis of historic or archeologically areas, sites, districts, or structures that are of local, state or federal significance.

Map Requirement:

- The description shall include a Historic or Archeologically Significant Areas Map that shows resources of historic significance (may be shown as part of the Building Inventory Map).

Transportation Systems

A description and analysis of the various transportation systems (vehicular, rail, subways, air, navigable waterways, esplanades) in the study area and the types of users (truck, car, bus, ferry, train, subway, recreational and commercial vessels, pedestrian, bicyclists, etc.).

Map Requirement:

- The description shall include a Transportation Systems Map that shows transportation networks and systems.

Infrastructure

A description and analysis of the area's infrastructure (water, sewer, storm water, etc.) and utilities including location, extent, condition and capacity. This description and analysis will also include parking lots and garages.

Map Requirement:

- The description will include an Infrastructure and Utilities Map that shows the areas primary infrastructure.

Natural Resources and Environmental Features

A description and analysis of the area's natural resource base, environmental features and current conditions including, but not limited to: upland natural resources and open space; surface waters and tributaries, groundwater resources and use; wetlands; flood plains; erosion hazard areas; fish and wildlife habitats; air quality maintenance areas; visual quality; agricultural lands; and locally, state, or federally designated resources.

Map Requirement:

- The description shall include a Natural Resources and Environmental Features Map that shows the location of primary natural resources and environmental features.

A. Economic and Market Analysis

An economic conditions and market analysis with concrete findings that define realistic future use scenarios for key sites within the proposed BOA. Future land uses must be economically viable, resilient, compatible, and appropriate for the area targeted for redevelopment.

The economic analysis shall focus on select datasets and indicators that provide insight into the particular socio-economic and market conditions and opportunities within the proposed BOA area and immediate region. These conditions and opportunities may also be identified through stakeholder interviews, community input, real estate analysis, existing studies, and secondary datasets.

The outcomes of the economic and market analysis should be described in relation to potential reuse scenarios for sites within the BOA area. The economic and market analysis shall consist of a micro (neighborhood, street, block, site specific) analysis and may consist of a macro (state and regional) analysis, if applicable.

B. Strategic Sites

A list of strategic sites developed from supportive criteria identifying the most likely sites to spur area-wide revitalization. Based on the analyses above, the contractor should identify a thorough list of strategic sites for redevelopment, as well as a description of the process and criteria used to determine the strategic sites selected. This should include a detailed rationale for how these criteria were selected by the community and stakeholders.

Factors that may be used to identify strategic sites can include but are not limited to: overall importance to the community and the revitalization effort; location; ownership and owner willingness; on-site structures; level of contamination; property size and capacity for redevelopment; potential to spur additional economic development or positive change in the community; potential to improve quality of life or to site new public amenities; community support for proposed projects for the site; adequacy of supporting or nearby infrastructure, utilities and transportation systems; levels of current and future climate/hazard risks; and other factors as may be determined by the community.

Site profiles shall be created for all strategic sites.

Map Requirement:

- Strategic sites that have been identified through the planning process

C. Environmental Review of Strategic Brownfield Sites

A list of candidate sites to be considered for Phase 2 site assessment funding. Prior to completion of the summary analysis and findings, the contractor shall hold discussions with DEC and DOS regarding the identified strategic brownfield sites that may be candidates for site assessment funding. The recommended discussions will serve to aid in prioritizing the sites that may be eligible for Phase 2 site assessment funding or technical assistance under BOA, the State's remedial programs (i.e., Environmental Restoration Program, or Brownfield Cleanup Program), or other programs.

D. Key Findings of Inventory and Analysis

A set of key findings drawing from community and stakeholder input, analysis of the proposed BOA area, economic and market analyses, and strategic sites analysis. These key findings should lead to clear, actionable recommendations for revitalization and redevelopment, to be outlined in detail in the following section.

The key findings should describe, but are not limited to, the following:

- clear comparison of proposed future uses and necessary or desired zoning changes to existing land use and zoning
- publicly controlled and other lands and buildings which are or could be made available for development or for public purposes
- potential interim land uses for strategic brownfield sites that have been identified by the community as catalysts for revitalization
- climate or hazard risks, including, but not limited to, potential impacts on future land uses, infrastructure, and populations
- necessary infrastructure improvements and other public or private measures needed to stimulate investment, promote revitalization, and enhance community health and environmental conditions
- opportunities for properties located in the proposed BOA, with an emphasis on redevelopment of properties identified as strategic sites including reuse as residential, commercial, industrial and recreational or cultural amenities
- conditions and requirements for anticipated redevelopment for strategic sites

Product:

- A complete narrative of the analysis of the proposed BOA, all required maps, and site profiles for relevant brownfield, abandoned and vacant sites and all identified strategic sites as described in Task 3.3 above. This shall be presented in the draft Nomination as Section 3.

Task 3.4: Final Recommendations and Implementation Strategy

A. Recommendations for Revitalization and Redevelopment

A summary analysis and recommendations for revitalization and redevelopment shall be prepared based on the more thorough analysis of the tasks above.

These recommendations should focus on reuse and redevelopment opportunities and needs for properties located in the proposed BOA. They should include detailed redevelopment concepts for strategic sites that have been identified by the community as catalysts for revitalization. These concepts may include strategies such as, but not limited to enhancing retail, mixed use, industrial, recreational, cultural, infrastructure, and public amenities. These redevelopment concepts should culminate in a discrete set of public and private implementation projects with next steps required to advance these projects.

Map Requirement:

- The Recommendations and Revitalization Strategy shall include a Revitalization Actions Map(s) visually illustrating recommended projects and improvements.

B. Interagency/Partner Engagement

The contractor and the DOS shall, as appropriate, coordinate a discussion of opportunities for implementation of the BOA with potential partners from a variety of sectors.

The contractor may be requested to prepare for and participate in interagency workshops with appropriate local, county, state, and federal agencies.

The goals for these workshops may include:

- improved communication and understanding among the agencies about the community's vision, project objectives and needs
- improved understanding of various government programs, services, and potential financing (grants and loans) that could advance implementation of the BOA plan
- support from government agencies for permitting, technical assistance, and financing and coordinate government agency actions

C. Implementation Strategy and Matrix

The contractor shall develop an implementation strategy that outlines short- and long-term projects and related actions required to advance redevelopment. This should be based on the summary recommendations outlined in Section A -- Recommendations for Revitalization and Redevelopment.

The implementation strategy should be based on a set of redevelopment concepts that guide the implementation approach. These redevelopment concepts should articulate a clear direction for future use of targeted sites and/or subareas, based on the analysis of community priorities and opportunities for the BOA area.

Information presented for each project should include at least: responsible party(ies), estimated cost, possible funding sources, a timeframe for implementation, and any interim pre-development activities that may be required. Projects included shall be specific and actionable.

Products:

- Final set of recommendations for revitalization and redevelopment
- Summary of points of agreement regarding necessary actions for the successful implementation of the BOA Plan
- Implementation strategy and matrix of prioritized projects and activities to advance revitalization of the study area
- This shall be presented in the draft Nomination as Section 4.

Component 4: Completion of the draft Nomination

Task 4.1: Draft Nomination and Executive Summary

The contractor shall prepare a draft Nomination incorporating the elements described in Component 3 as well as incorporating summaries of additional technical analyses that may have been undertaken as part of the Nomination study and present in a format that may be prescribed by DOS.

The Executive Summary shall include, in no more than fifteen (15) pages, the following:

- Community and project overview and description, including the study area boundary
- The community's vision for the area, major goals and objectives
- Public participation process
- Conditions, opportunities and assets in the study area and key natural resources
- Economic niche or economic opportunities based in part on economic and market trends
- Strategic sites and associated redevelopment opportunities
- Key findings and recommendations

The draft document shall be submitted to DOS for review and comment, and all comments shall be reflected in the draft Nomination. The contractor must submit both a hard copy and an electronic copy of the draft Nomination.

Product:

- Draft Nomination

Component 5: Final Nomination and Application for Designation

Task 5.1: Public Meeting

In consultation with DOS, the contractor shall conduct a public information meeting to solicit public input on the Draft Nomination. A written summary of public input obtained at this meeting shall be prepared.

Products:

- Public meeting held
- Minutes/summary of meeting prepared.

Task 5.2: Preparation of Final Nomination

Based on comments received during the review period, the contractor shall prepare a final Nomination. The final Nomination shall be submitted to DOS for review and approval. All comments must be addressed to the satisfaction of DOS in subsequent versions of the final Nomination.

Products:

- Final Nomination approved by the DOS
- Supporting documentation including a GIS shapefile of the BOA boundary and photographs of the area

Task 5.3: Application for Designation

Upon completion of all tasks and receipt of acceptable report products, the contractor shall submit an application for designation of the BOA. The application for designation of the Brownfield Opportunity Area shall include the following materials:

- Letter of request: A cover letter requesting consideration by the Secretary of State for designation of the Brownfield Opportunity Area.
- Public Notice and Presentation of Supporting Documentation: Documentation demonstrating required public participation has been completed.
- Complete BOA Nomination: A complete BOA Nomination document that presents a plan for the revitalization of the proposed BOA should be submitted both electronically and in hard copy. The hard copy should be provided in a 3-ring binder, with a cover sheet to indicate the location of the required

elements within the Nomination document. A pdf of the Nomination suitable for web posting must also be submitted.

- A digital map of the area proposed for BOA designation, submitted in ESRI Shapefile or Geodatabase format.

Product:

- Completed application for designation of the BOA and a complete designation package.

Component 6: NYS Environmental Quality Review

Task 6.1: NYS Environmental Quality Review

The Contractor's preparation and adoption of a Nomination funded through the Brownfield Opportunity Area program should comply with the State Environmental Quality Review Act (SEQRA). As applicable, the Contractor is the Lead Agency for purposes of SEQRA. The Lead Agency shall prepare, distribute and file a Full Environmental Assessment Form for purposes of evaluating the importance/significance of the impacts associated with preparing and adopting a BOA Nomination. Upon completing the Full Environmental Assessment Form, the Lead Agency shall make a Determination of Significance in accordance with SEQRA and its regulations. If the Determination of Significance results in a Positive Declaration, the Lead Agency shall prepare a Draft Generic Environmental Impact Statement for the Draft BOA nomination in accordance with SEQRA and its regulations and guidelines. If the findings of the Determination of Significance are such that the BOA nomination will not have at least one significant adverse environmental impact, a Negative Declaration may be prepared and filed. If a Negative Declaration is prepared and filed, then a Draft Generic Environmental Impact Statement will not be necessary and no further actions are necessary under SEQRA and its regulations. The Contractor shall determine whether a SEQRA public hearing will be held on the Draft BOA nomination. Completed SEQRA documents for the BOA nomination actions shall be submitted to the Department.

Products:

- Completed Full Environmental Assessment Form and associated SEQRA determination statements (Positive Declaration or Negative Declaration).
- If the Determination of Significance results in a Positive Declaration, the Lead Agency, with the assistance of the consultant, shall prepare a Draft Generic Environmental Impact Statement for the Draft BOA nomination in accordance with the SEQRA regulations and guidelines.
- If a Draft Generic Environmental Impact Statement is prepared, a SEQRA public hearing shall be held and the hearing notes should be submitted to the Department.

Component 7: Project Reporting

Task 7.1: MWBE Reporting

Comply with MWBE Reporting Requirements by completing the following actions:

- Submit Form C - Workforce Employment Utilization to report the actual work force utilized for this contract broken down by specified categories (every March 31, June 30, September 30 and December 31).
- Submit Form D - MWBE Utilization Plan to indicate any state-certified MWBE firms selected to work on this contract. Form D must be updated and submitted to the Department whenever changes to the selected MWBE firms occur (addition or removal).

- Record payments to MWBE subcontractors using DOS funds through the New York State Contract System (NYSCS).

Technical assistance for use of the NYSCS system can be obtained through the NYSCS website at <https://ny.newnycontracts.com> by clicking on the “Contact Us & Support” link.

Product:

- Ongoing reporting through NYSCS during the life of the contract. Form C submitted on a quarterly basis and Form D submitted as necessary.

Task 7.2: Project Status Reports

The Contractor shall submit project status reports semi-annually (every June 30 and December 31) on the form provided, including a description of the work accomplished, the status of all tasks in this work plan, schedule of completion of remaining tasks, and an explanation of any problems encountered.

Product:

- Completed project status reports submitted during the life of the contract.

Task 7.3: Final Project Summary Report

The Contractor shall work with the DOS project manager to complete the Final Project Summary Report.

Product:

- Completed Final Project Summary Report.

4. Project Management and Responsibilities

The Contractor:

- will be responsible for conducting all project work in conformance with the Work Plan referenced in the executed contract with the DOS.
- will be responsible for all project activities including drafting request for proposals and managing subcontracts with consultants and sub consultants.
- will certify to the DOS that the procurement for project consultants and subcontractors was achieved through a competitive process.
- will receive approval from the DOS for any and all consultant subcontracts before beginning project work.
- will be responsible for submission of all products and payment requests.
- will keep the DOS and DEC informed of all important meetings for the duration of this contract.
- will ensure that all products prepared as a part of this work plan shall include the NYS Comptroller's Contract #.
- will ensure the project objectives are being achieved.
- will ensure that comments received from the DOS, other agencies, and the project steering committee, or other advisory group, are satisfactorily responded to and reflected in subsequent work.
- will recognize that payments made to consultants or subcontractors covering work carried out or products produced prior to receiving approval from the DOS and will not be reimbursed unless and will be responsible for coordinating participation and soliciting comments from local government personnel, project volunteers, and the public.
- until the DOS finds the work or products to be acceptable.

- will participate, if requested by DOS, in a training session or sessions focused on developing and implementing revitalization strategies.

The Department of State:

- will review and approve or disapprove of subcontracts between the Contractor and consultant(s) and any other subcontractor(s).
- will participate in initial project scoping and attend meetings that are important to the project.
- will review all draft and final products and provide comments as necessary to meet project objectives.